

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person *					Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Laurencio Francis					Atomera Inc [ ATOM ]							Director		10%	Owner	
(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)							Officer (give title below) Other (specify below)				
C/O ATOMERA, INC., 750							6/1	1/20	21		See Remarks	1				
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	(St	reet)		4.	If A	mendme	ent, Date C	)rıgır	ial Fil	ed (MM/I	OD/YYYY)	6. Individual	or Joint/G	roup Filing	(Check Appl	icable Line)
LOS GATOS, CA 95032											X _ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(1	City) (S	tate) (Z	ip)								Form fried by	Form med by wore than One Reporting Person				
			Table I	- Non-De	riva	tive Sec	urities Ac	quir	ed, Di	sposed	of, or Be	eneficially Own	ed			
1. Title of Security (Instr. 3) 2. Trans. 1			Trans. Date	Exe	Deemed cution e, if any	3. Trans. Co (Instr. 8)	ode	or Disp	rities Acq osed of (I 3, 4 and 5)	D) ` ` `	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			Ownership Form:	7. Nature of Indirect Beneficial Ownership	
							Code	v	Amour	(A) or (D)	Price					(Instr. 4)
Common Stock				6/1/2021			S		3644	<u>D</u>	\$17.55		67442		D	
Common Stock 6/2/2021				6/2/2021			S		1500	<u>D</u>	\$17.87	65942		D		
Common Stock 6/2/2021				6/2/2021			M		2000	<u>A</u>	\$3.90	67942		D		
Common Stock 6/2/2021				6/2/2021			S		2000	<u>D</u>	\$17.89	65942		D		
	Ta	ıble II - De	erivative S	Securities	Ber	neficially	y Owned (	(e.g.,	puts,	calls, w	arrants,	options, conve	rtible seci	urities)		
1. Title of Derivate Security (Instr. 3)	Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deeme Execution Date, if any	4. Trans. Code (Instr. 8)	Derivative		e Securities (A) or of (D)		Date Exercisable and xpiration Date		Securities	Underlying Security	nderlying Derivative Security Security		Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code	v	(A)	(D)	Date Exerc	isable I	Expiration Date	tion Title Amount or Number of Tra	Following Reported Transaction(s) (Instr. 4)	or Indirect			
Stock Options (Right to Buy)	\$3.90	6/2/2021		M			2000 (3)	6/1/2	2019	2/27/2029	Commo Stock	n 2000	\$0	68923	D	

#### **Explanation of Responses:**

- (1) Represents the number of shares sold by the Reporting Person to cover tax withholding obligations in connection with the vesting of restricted stock grants that were previously reported. This sale is to satisfy mandatory non-discretionary tax withholding obligations by a "sell to cover" transaction.
- (2) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- (3) The option exercise reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person and exercised from a previously reported total.

## Remarks:

The Reporting Person is the Chief Financial and Accounting Officer of Issuer.

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Laurencio Francis C/O ATOMERA, INC. 750 UNIVERSITY AVENUE, SUITE 280 LOS GATOS, CA 95032			See Remarks				

#### **Signatures**

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.