

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person *   |                                    |                   |                                     |           |  |   |   |      |  |         |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)                 |  |                               |   |  |                         |
|--|------------------------------------|-------------------|-------------------------------------|-----------|--|---|---|------|--|---------|---|---|--|-------------------------------|---|--|-------------------------|
| Cleavelin C Rinn   |                                    |                   |                                     |           | At   | Atomera Inc [ ATOM ]                              |   |      |  |         |   |   |  |                               |   |  |                         |
| (Last) (First) (Middle)  |                                    |                   |                                     | 3. I      | 3. Date of Earliest Transaction (MM/DD/YYYY) |   |   |      |  |         |   | X_ Director10% Owner  |  |                               |   |  |                         |
|  |                                    |                   |                                     |           |  |   |   |      |  |         |   | Officer (give title below) Other (specify below)  |  |                               |   |  |                         |
| C/O ATOMERA, INC., 750   |                                    |                   |                                     |           |  | 5/12/2021   |   |      |  |         |   |   |  |                               |   |  |                         |
| UNIVERSITY AVENUE, SUITE 280   |                                    |                   |                                     |           |  |   |   |      |  |         |   |   |  |                               |   |  |                         |
|  | •                                  |                   |                                     |           | 4. I   | 4. If Amendment, Date Original Filed (MM/DD/YYYY) |   |      |  |         |   | 6. Individual or Joint/Group Filing (Check Applicable Line)                             |  |                               |   |  |                         |
| LOS GATOS, CA 95032 (City) (State) (Zip)   |                                    |                   |                                     |           |  |   |   |      |  |         | X _ Form filed by One Reporting Person Form filed by More than One Reporting Person |   |  |                               |   |  |                         |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                                    |                   |                                     |           |  |   |   |      |  |         |   |   |  |                               |   |  |                         |
| 1.Title of Security (Instr. 3)  2. Trans. D                                      |                                    |                   |                                     |           |  | 3. Trans. Co<br>(Instr. 8)                        | ode 4. Securities A<br>or Disposed or<br>(Instr. 3, 4 and |      | osed of (D   | D) Fo   |   | Amount of Securities Beneficially Owned ollowing Reported Transaction(s) nstr. 3 and 4) |  |                               | 6. Ownership Form:                                | Beneficial                               |                         |
|  |                                    |                   |                                     |           |  | Code  | V   | Amou | (A) or (D)   | Pric    | ce  |   |  |                               |   | Ownership<br>(Instr. 4)                  |                         |
| Common Stock 5/12/202  |                                    |                   | 21                                  |           |  | A   |   | 4401 | 1) A   | \$0.0   | 00  |   | 56668  |                               | D   |  |                         |
|  | 2.<br>Conversion<br>or Exercise    | 3. Trans.<br>Date | 3A. Deer<br>Execution<br>Date, if a | ned 4. Tr | ans. Code                                    |   | de 5. Number of   |      | e.g., puts, calls, warrants, o  6. Date Exercisable and Expiration Date Securities Underward Derivative: |         |   | Amount of<br>Jnderlying   | at of 8. Price of Derivative 9. Number of derivative |                               | 10. 11. Nature<br>Ownership<br>Form of Beneficial |  |                         |
|  | Price of<br>Derivative<br>Security |                   |                                     | _         |  |   | Disposed of (D)<br>(Instr. 3, 4 and 5)                    |      |  |         |   | tr. 3 and 4)  |  | (Instr. 5) Beneficially Owned |   | Derivative<br>Security:<br>Direct (D)    | Ownership<br>(Instr. 4) |
|  |                                    |                   |                                     | Co        | ode  | V   | (A)   | (D)  | Date<br>Exerc  | cisable | Expiration<br>Date  | Title   | Amor   | ount or Number of<br>es       |   | Reported<br>Transaction(s)<br>(Instr. 4) | or Indirect             |

#### **Explanation of Responses:**

(1) These are Restricted Stock Awards of Common Stock issued to the Reporting Person by the Issuer on May 12, 2021, which will vest on May 5, 2022.

#### Reporting Owners

| reporting owners                 |               |           |         |       |  |  |  |
|----------------------------------|---------------|-----------|---------|-------|--|--|--|
| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |  |
| Reporting Owner Name / Address   | Director      | 10% Owner | Officer | Other |  |  |  |
| Cleavelin C Rinn                 |               |           |         |       |  |  |  |
| C/O ATOMERA, INC.                | X             |           |         |       |  |  |  |
| 750 UNIVERSITY AVENUE, SUITE 280 | Λ             |           |         |       |  |  |  |
| LOS GATOS, CA 95032              |               |           |         |       |  |  |  |

### **Signatures**

| /s/ C. Rinn Cleavelin, by Mindi Zimmer, as attorney-in-fact | 5/12/202 |
|---|----------|
| **Signature of Reporting Person                             | Date     |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.