

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
BIBAUD S	COTT A	۱.		A	<b>A</b> to	mera l	Inc [ AT	OM										
(Last) (First) (Middle)				3	3. Date of Earliest Transaction (MM/DD/YYYY)								X_ Director10% Owner					
(1135)														XOfficer (give title below)Other (specify below)				
C/O ATON	IERA, I	NC., 750					3/	1/20	021				CEO and Pre	esident				
UNIVERSI	ITY AVI	ENÚE, S	UITE 2	280														
	(5	Street)		4	If.	Amendr	nent, Date	Origi	inal Fil	led (M	/M/DI	D/YYYY)	6. Individual o	or Joint/G	roup Filing	(Check Appl	icable Line)	
LOS GATO	os ca o	5032											X Form filed b	Om a Daman	tina Dansan			
	,		Zip)												one Reporting F	erson		
	(City) (	State) (A	Zip)															
			Table 1	I - Non-D	eriv	ative Se	ecurities A	cquii	red, Di	ispos	sed of	f, or Ben	eficially Own	ed				
1.Title of Security			2	. Trans. Date			3. Trans. Co	ode				5. Amount of Securities Beneficially Owned			6.	7. Nature		
(Instr. 3)					Execution Date, if any		(Instr. 8)									Ownership of Indirect Form: Beneficial		
						,,			(======================================							Direct (D)	Ownership	
										(.	(A) or					or Indirect (I) (Instr.	(Instr. 4)	
							Code	V	Amou	_	(D)	Price				4)		
Common Stock 3/1/2021						S		6802		D	\$27.67	275263			D			
Common Stock				3/1/2021			M		160228		A	\$5.70		435491		D		
Common Stock 3/1/2021				3/1/2021			S		160228		D	\$27.75	275263			D		
Common Stock 3/2/2021				3/2/2021			M		14772		A	\$5.70	290035			D		
Common Stock 3/2/2021				3/2/2021			S		14772	<u>(3)</u>	D	\$30.50	275263			D		
	_				_													
	,	1				,							options, conve			1	ı	
Title of     Derivate Security	2. Conversion	3. Trans. Date	3A. Deeme Execution	ed 4. Trans. Code	Derivative				ration Date Sec De			d Amount of Underlying		<ol><li>Number of derivative</li></ol>	10. Ownership	<ol> <li>Nature of Indirect</li> </ol>		
	or Exercise		Date, if any				(A) or	r			Derivative	Security Security		Securities	Form of 1	Beneficial		
	Price of Derivative						4 and 5)	and 5)				(Instr. 3 an	d 4)	(Instr. 5)	Beneficially Owned	Security:	Ownership (Instr. 4)	
	Security							Date	ī	Expirat	iration		Amount or	1	Following Reported	Direct (D) or Indirect		
				Code	3.7	(4)				Date	tion	Title	Number of Shares		Transaction(s)			
Stock Options	65.70	2/1/2021		Code	V	(A)	(D)	10/16	/2016 1	10/15/2	2025	Common		60	(Instr. 4)	,		
(Right to Buy)	\$5.70	3/1/2021		M			160228 (2)	10/16/2016 10		10/15/2	2025	Stock	100228 30		142774	D		
Stock Options (Right to Buy)	\$5.70	3/2/2021		M			14772 <sup>(2)</sup>	12/17	//2017	10/15/2	2025	Common Stock	14772	\$0	128002	D		

#### **Explanation of Responses:**

- (1) Represents the number of shares sold by the Reporting Person to cover tax withholding obligations in connection with the vesting of restricted stock grants that were previously reported. This sale is to satisfy mandatory non-discretionary tax withholding obligations by a "sell to cover" transaction.
- (2) The option exercise reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person and exercised from a previously reported total.
- (3) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.

#### **Reporting Owners**

reporting owners									
Panarting Owner Name / Address	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
BIBAUD SCOTT A.									
C/O ATOMERA, INC.	X		CEO and President						
750 UNIVERSITY AVENUE, SUITE 280	Λ		CEO and I resident						
LOS GATOS, CA 95032									

### Signatures

/s/ Scott A. Bibaud, by Mindi Zimmer, as Attorney-in-Fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.