

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. I	ssue	r Name	and Ticke	er or	Tradii	ıg Symbo	ol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Laurencio Francis					At	ome	era In	c [ ATO	<b>M</b> ]									
(Last) (First) (Middle)					3. I	Date	of Earli	est Transa	ction	ı (MM/	DD/YYYY	)	Director  V Officer (a	Director10% Owner  X Officer (give title below) Other (specify below)				
C/O ATOMERA INC. 550								3/1	/20	23			CFO					
C/O ATOMERA, INC., 750 UNIVERSITY AVENUE, SUITE 280								3/1	/40	23								
(Street)					4 1	fAn	nendmei	nt, Date O	rioin	al File	d (MM/DI	)/VVV	v) 6 Individual	6. Individual or Joint/Group Filing (Check Applicable Line)				
	`	,			11	1 / 111	Terrame	nt, Dute O	11511	iui i iic	a (MM/DI	<i>5</i> /111	o. marviduar	or some G	roup r ming (	Спеск Аррі	icable Line)	
LOS GATOS, CA 95032													X_Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City) (State) (Zip)												T offin fined by	1 of in thee by Profe than One Reporting Letson					
			Table	I - Noi	n_Der	ivati	ive Sect	rities Acc	mir	ad Die	nosed o	forl	Beneficially Own	ed.				
1. Title of Security (Instr. 3)  2. Trans. I							3. Trans. Co (Instr. 8)		4. Securities Acquired (or Disposed of (D) (Instr. 3, 4 and 5)				ties Beneficially Owned		Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership		
								Code	V	Amou		Pric	e			or Indirect (I) (Instr. 4)	(Instr. 4)	
Common Stock 3/1/202				023			S		885 <sup>(1</sup>	_	\$6.4	9	81952		D			
Common Stock 3/1/20				023			S		667 (1		\$6.4		81285		D			
Common Stock 3/1/202				023			S		270		\$6.4	9	81015		D			
Common Stock 3/1/202				023			S		140	). <b>D</b>	\$6.4	9	80875					
	Tab	le II - Der	ivative	Secur	ities l	Bene	eficially	Owned (	e <b>.g.</b> ,	puts,	calls, wa	rrant	s, options, conve	rtible secu	ırities)			
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Dee Execution Date, if	ecution (Ins		Code	e 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date			Secur Deriv	e and Amount of ties Underlying ative Security 3 and 4)	rlying Derivative	derivative Securities	10. Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	V	(A)	(D)	Date	e rcisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)			

### **Explanation of Responses:**

(1) Represents the number of shares sold by the Reporting Person to cover tax withholding obligations in connection with the vesting of restricted stock grants that were previously reported. This sale is to satisfy mandatory non-discretionary tax withholding obligations by a "sell to cover" transaction.

#### **Reporting Owners**

<u> </u>							
Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Laurencio Francis							
C/O ATOMERA, INC.			CFO				
750 UNIVERSITY AVENUE, SUITE 280	1		CFO				
LOS GATOS, CA 95032							

#### **Signatures**

/s/ Francis Laurencio, by Mindi Zimmer, as Attorney-in-Fact 3/3/2023

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.