

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name <b>and</b> Ticker or Trading Symbol							bol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
Trautmann	Erwin				Ato	ome	ra Inc	[ATC	)M ]				(Check all app	olicable)			
(Last) (First) (Middle)					3. Date of Earliest Transaction (MM/DD/YYYY)							Y)	X Director X Officer (gi	X Director 10% Owner  X Officer (give title below) Other (specify below)			
C/O ATOM	3/11/2020								See Remarks								
UNIVERSI			ITE 2	80										/8	77111		
	(Sti	reet)			4. Ii	f Ame	endmen	t, Date C	)rigin	al Fil	ed (MM/I	DD/YYYY	6. Individual	or Joint/G	roup Filing (	Check Appl	icable Line)
LOS GATOS, CA 95032 (City) (State) (Zip)													X_Form filed by One Reporting Person Form filed by More than One Reporting Person				
(1	City) (S	tate) (Zip	p)		ļ												
			Table I	- Non-	Deri	ivativ	e Secui	rities Ac	quire	ed, Di	sposed	of, or Bo	eneficially Own	ed			
1.Title of Security (Instr. 3)			2. Trans. D	E		2A. Deemed Execution Date, if any 3. Trans. Co (Instr. 8)		ode	or Disposed of (I (Instr. 3, 4 and 5)		D)	5. Amount of Securities Benefic Following Reported Transaction (Instr. 3 and 4)		ally Owned s)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	V	Amou	(A) o nt (D)	Price				(I) (Instr. 4)	
Common Stock 3/11/202				20			A		7392	<u>1)</u> A	\$0.00	99286		D			
	Ta	ble II - Der	ivative	Securit	ties I	Benef	icially (	Owned (	( <b>e.g.</b> , ]	puts,	calls, w	arrants,	options, conve	rtible secu	urities)		
1. Title of Derivate Security (Instr. 3)	Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deen Execution Date, if an	n Code	;	5. Number Derivative Acquired ( Disposed o (Instr. 3, 4		Securities A) or f (D)	6. Date Exercisabl Expiration Date				Underlying Security d 4) Derivative Security (Instr. 5)		Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code		V	(A)	(D)	Date Exerci	sable I	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Stock Options (Right to Buy)	\$4.06	3/11/2020		A			16160		<u>(2</u>	3	3/11/2030	Commo Stock	n 16160	\$0.00	16160	D	

## **Explanation of Responses:**

- (1) Represents shares issued pursuant to a Restricted Stock Agreement. Risk of forfeiture lapses over one year in equal quarterly increments beginning on June 1, 2020.
- (2) One-year vesting in equal quarterly installments beginning on June 1, 2020.

#### Remarks:

The reporting person is the Executive Vice President of Strategic Business Development of Issuer.

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Trautmann Erwin C/O ATOMERA, INC. 750 UNIVERSITY AVENUE, SUITE 280 LOS GATOS, CA 95032	X		See Remarks				

## **Signatures**

/s/ Erwin Trautmann, by Mindi Zimmer, as Attorney-in-Fact 3/13/2020

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.