

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person *				2.	2. Issuer Name <b>and</b> Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Laurencio Francis				A	Atomera Inc [ ATOM ]												
(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)								Director 10% Owner  X Officer (give title below) Other (specify below)				
C/O ATOMERA, INC., 750 UNIVERSITY AVENUE, SUITE 280					12/1/2020								See Remarks				
UNIVERSI		reet)	11E 20		If A	mendme	ent, Date (	Origin	al Fi	led (M	M/D	D/YYYY)	6. Individual of	or Joint/G	roup Filing (	Check Appl	icable Line)
LOS GATOS, CA 95032 (City) (State) (Zip)												_X _ Form filed by One Reporting Person Form filed by More than One Reporting Person					
			Table I -	- Non-De	rivat	tive Sec	urities Ac	quire	ed, D	isposo	ed o	f, or Be	neficially Own	ed			
1.Title of Security (Instr. 3) 2. Trans.			Trans. Date	2A. Deemed Execution Date, if any		3. Trans. C (Instr. 8)	4. Securities Acquired (A or Disposed of (D) (Instr. 3, 4 and 5)			) ` (	5. Amount of Securit Following Reported (Instr. 3 and 4)				7. Nature of Indirect Beneficial Ownership		
							Code	V	Amo		A) or (D)	Price					(Instr. 4)
Common Stock 12/1/202				12/1/2020			s		2708	(1)	D	\$9.50	70551			D	
Common Stock 12/2/202				12/2/2020			S		1500	<u>(2)</u>	D	\$9.47	69051		D		
	Ta	ble II - Dei	rivative S	Securities	Ben	eficially	Owned .	(e.g.,	puts	, calls	, wa	ırrants,	options, conve	rtible secu	ırities)		
1. Title of Derivate Security (Instr. 3)	Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deeme Execution Date, if any	Code	Deri Acq Disp		er of e Securities (A) or of (D) 4 and 5)	6. Date Exercisable and Expiration Date			7. Title and Securities Derivative (Instr. 3 and	nderlying Derivative Security		derivative Securities Beneficially Owned	Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Security			Code	V	(A)	(D)	Date Exerci		Expirat Date	ion	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Stock Options (Right to Buy)	\$3.90	12/2/2020		D			2000	<u>(3</u>	<u>3)</u>	2/27/20	29	Commor Stock	2000	\$9.47	72923	D	

#### **Explanation of Responses:**

- (1) Represents the number of shares sold by the Reporting Person to cover tax withholding obligations in connection with the vesting of restricted stock grants that were previously reported. This sale is to satisfy mandatory non-discretionary tax withholding obligations by a "sell to cover" transaction.
- (2) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person of vested restricted stock.
- (3) The option exercise reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person and exercised from a previously reported total number of 74,923 stock options granted to the Reporting Person on February 27, 2019.

#### Remarks

The Reporting Person is the Chief Financial and Accounting Officer of Issuer.

#### **Reporting Owners**

<u> </u>								
Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Laurencio Francis								
C/O ATOMERA, INC.			See Remarks					
750 UNIVERSITY AVENUE, SUITE 280			See Kemarks					
LOS GATOS, CA 95032								

### **Signatures**

/s/ Francis Laurencio, by Mindi Zimmer, as Attorney-in-Fact

12/3/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.