

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. ]	2. Issuer Name <b>and</b> Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
Laurencio Francis						om	era In	c [ ATO	M	ı			(Check all app	ilicable)				
								iest Transa			I/DI	D/WWW	Director	Director 10% Owner				
(Last) (First) (Middle)					3. 1	Jaie	oi Eaii	iest Transa	ictioi	I (MM	I/DI	D/YYYY	_X_ Officer (giv	X Officer (give title below) Other (specify below)				
C/O ATOMERA, INC., 750								12/	1/20	21			CFO	CFO				
UNIVERSITY AVENUE, SUITE 280								12,	-, - 0									
OIVI V ERSI		reet)	1112	200	4 1	f Aı	mendme	nt. Date O	rigin	al Fil	led	L(MM/D	D/VVVV	6 Individual c	r Ioint/G	roun Filing	Check Appl	icable Line)
LOS GATOS, CA 95032					4. If Amendment, Date Original Filed (MM/DD/YYYY)								6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person					
((	City) (S	state) (Zij	p)															
			Table	I - No	on-Der	ivat	tive Secu	urities Ac	quire	ed, D	isp	osed o	f, or Be	neficially Owne	ed			
1.Title of Security (Instr. 3)			s. Date	Exec	Deemed cution , if any	3. Trans. Co (Instr. 8)	de	or Disp		urities Acquired (A) posed of (D) 3, 4 and 5)		Following Reported Transaction(s) (Instr. 3 and 4)		6. Ownership Form:	Beneficial			
								Code	V	Amou	ınt	(A) or (D)	Price				Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock 12/1/202				/2021			s		221	_	D	\$24.24	(	60566		D		
Common Stock 12/1/202				/2021			S		1083	<u>(1)</u>	D	\$24.24		59483				
Common Stock 12/1/202				/2021			S		894	1)	D	\$24.24		58589				
Common Stock 12/1/202				/2021			S		1438	(1)	D	\$24.24	:	57151				
Common Stock 12/2/202				/2021			M		2000	<u>(2)</u>	A	\$3.9	:	59151				
Common Stock 12/2/202				/2021			S		2000	_	D	\$22.70		57151				
Common Stock 12/2/202				/2021			S		1500	<u>(3)</u>	D	\$22.69	55651			D		
	Ta	ıble II - Deı	ivativ	e Secu	ırities	Ben	eficially	Owned (	e.g.,	puts,	, ca	alls, wa	ırrants,	options, conver	tible secu	ırities)		
1. Title of Derivate Security (Instr. 3)	Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deeme Execution Date, if an				5. Number Derivative Acquired Disposed (Instr. 3, 4	e Securities (A) or of (D)		ate Exercisable and iration Date		Securities	Underlying e Security	Derivative	Securities Beneficially Owned	10. Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Security				Code	V	(A)	(D)	Date Exerc	isable		piration ate	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Stock Option (right to buy)	\$3.90	12/2/2021			M			2000 (2)	6/1/2	2019	2/2	27/2029	Commo Stock	n 2000	\$0	64923	D	

#### **Explanation of Responses:**

- (1) Represents the number of shares sold by the Reporting Person to cover tax withholding obligations in connection with the vesting of restricted stock grants that were previously reported. This sale is to satisfy mandatory non-discretionary tax withholding obligations by a "sell to cover" transaction.
- (2) The option exercise reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person and exercised from a previously reported total.
- (3) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Laurencio Francis							
C/O ATOMERA, INC.			CFO				
750 UNIVERSITY AVENUE, SUITE 280	)		CFO				
LOS GATOS, CA 95032							

### **Signatures**

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.