

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

☐ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person +	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
Laurencio Francis	Atomera Inc [ ATOM ]	Director 10% Owner			
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)				
C/O ATOMERA, INC., 750	2/21/2024	CFO			
UNIVERSITY AVENUE, SUITE 280					
(Street)	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)			
LOS GATOS, CA 95032	-	X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip)					

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	(Instr. 8)		or Disposed of (D)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form:	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price		or Indirect (I) (Instr. 4)	1
Common Stock	2/21/2024		Α		<b>30,960</b> (1)	Α	\$0	103,608	D	

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)				and Expiration Date		Securities Underlying Derivative Security		Derivative Security (Instr. 5)	Securities Beneficially Owned	Ownership Form of Derivative Security:	Beneficial Ownership (Instr. 4)
	Security			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			Direct (D) or Indirect (I) (Instr. 4)	
Incentive Stock Option (right to buy)	\$6.46	2/21/2024		А		15,885		(2)	2/21/2034	Common Stock	15,885	\$0	15,885	D	
Non-Qualified Stock Option (right to buy)	\$6.46	2/21/2024		А		44,899		<u>(2)</u>	2/21/2034	Common Stock	44,899	\$0	44,899	D	

#### **Explanation of Responses:**

- (1) 6.25% shall vest each quarter for the next 48 months commencing on June 1, 2024
- (2) 6.25% shall vest each quarter for the next 48 months commencing on June 1, 2024

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Laurencio Francis							
C/O ATOMERA, INC.			CFO				
750 UNIVERSITY AVENUE, SUITE 280							
LOS GATOS, CA 95032							

## Signatures

/s/ by Mindi Zimmer, as Attorney-in-Fact For: Francis Laurencio

2/22/2024

### \*\*Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.