

□ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

☐ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person - | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer                                       |  |  |  |  |
|---|--|--|--|--|--|--|
| BIBAUD SCOTT A.                           | Atomera Inc [ ATOM ]                               | (Check all applicable)   |  |  |  |  |
| (Last) (First) (Middle)                   | 3. Date of Earliest Transaction (MM/DD/YYYY)       | _X_ Director 10% Owner   X_ Officer (give title below) Other (specify below)           |  |  |  |  |
| C/O ATOMERA, INC., 750                    | 9/1/2023   | CEO and President  |  |  |  |  |
| UNIVERSITY AVENUE, SUITE 280              |  |  |  |  |  |  |
| (Street)                                  | 4. If Amendment, Date Original Filed (MM/DD/YYYY)  | 6. Individual or Joint/Group Filing (Check Applicable Line)                            |  |  |  |  |
| LOS GATOS, CA 95032                       |  | X _ Form filed by One Reporting Person<br>Form filed by More than One Reporting Person |  |  |  |  |
| (City) (State) (Zip)                      |  | rom med by wore man one reporting reison   |  |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of Security<br>(Instr. 3) | 2. Trans. Date | 3. Trans. Code<br>(Instr. 8) 4. Securit<br>or Dispos<br>(Instr. 3, - |   |                   | . ,           | 5. Amount of Securities Beneficially Owned<br>Following Reported Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D) | Beneficia                        |            |
|-----------------------------------|----------------|--|---|-------------------|---------------|---|--|----------------------------------|------------|
|                                   |                | Code   | v | Amount            | (A) or<br>(D) | Price   |  | or Indirect<br>(I) (Instr.<br>4) | (Instr. 4) |
| Common Stock                      | 9/1/2023       | s  |   | 4,662 ( <u>1)</u> | D             | \$6.28  | 305,613                                | D                                |            |
| Common Stock                      | 9/1/2023       | S  |   | <b>564</b> (1)    | D             | \$6.28  | 305,049                                | D                                |            |
| Common Stock                      | 9/1/2023       | s  |   | 868 ( <u>1)</u>   | D             | \$6.28  | 304,181                                | D                                |            |
| Common Stock                      | 9/1/2023       | S  |   | 1,732 (1)         | D             | \$6.28  | 302,449                                | D                                |            |

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| (Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of | 3. Trans.<br>Date | 3A. Deemed<br>Execution<br>Date, if any | 4. Trans. (<br>(Instr. 8) |   |     |  | 1                   |                    | Securities Underlying<br>Derivative Security |                               | Derivative<br>Security<br>(Instr. 5) | Securities                               | Ownership<br>Form of             | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|------------|---|-------------------|---|---------------------------|---|-----|--|---------------------|--------------------|--|-------------------------------|--------------------------------------|--|----------------------------------|--|
|            | Derivative<br>Security                      |                   |   |                           |   |     |  |                     |                    |  |                               |                                      | Following                                | Direct (D)                       | (Instr. 4)   |
|            |   |                   |   | Code                      | v | (A) |  | Date<br>Exercisable | Expiration<br>Date | Title  | Amount or Number of<br>Shares |                                      | Reported<br>Transaction(s)<br>(Instr. 4) | or Indirect<br>(I) (Instr.<br>4) |  |

### **Explanation of Responses:**

(1) Represents the number of shares sold by the Reporting Person to cover tax withholding obligations in connection with the vesting of restricted stockgrants that were previously reported. This sale is to satisfy mandatory non-discretionary tax withholding obligations by a "sell to cover" transaction.

### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                   |       |  |  |  |  |
|---|---------------|-----------|-------------------|-------|--|--|--|--|
| Reporting Owner Name / Address  | Director      | 10% Owner | Officer           | Other |  |  |  |  |
| BIBAUD SCOTT A.<br>C/O ATOMERA, INC.<br>750 UNIVERSITY AVENUE, SUITE 280<br>LOS GATOS, CA 95032 | X             |           | CEO and President |       |  |  |  |  |

#### Signatures

/s/ Mindi Zimmer, as Attorney-in-Fact for Scott A. Bibaud

9/5/2023 Date Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.